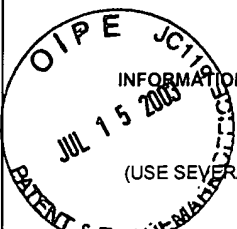


FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. AXIAMD.006A	APPLICATION NO. 09/782,534
	APPLICANT Andrew H. Cragg et al.	
	FILING DATE February 13, 2001	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
Ko	6,187,000	02/13/01	Davison et al.			
Ko	6,287,313	9/11/01	Sasso			
Ko	6,562,046	05/13/03	Sasso			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
Ko	EP 0 980 677 A1	02/23/00	Europe				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
Ko	Friedrich W. Rathke and Karl F. Schlegel -- Surgery of the Spine -- Atlas of Orthopaedic Operations, Volume 1 -- 1979 -- pp 222-224.

H:\DOCS\JLP\JLP-1825.DOC  
062703

EXAMINER <i>Kathryn Odland</i>	DATE CONSIDERED <i>1/6/04</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
AXIAMD.006A

NOV 26 2003

APPLICATION NO.  
09/782,534INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Cragg, et al.FILING DATE  
February 13, 2001GROUP  
3743

RECEIVED

DEC 01 2003

TECHNOLOGY CENTER R3705

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
KD	1	5,591,170	01/07/97	Spievack, et al.			
	2	5,921,971	07/13/99	Agro, et al.			
	3	5,928,239	07/27/99	Mirza			
	4	6,010,495	01/04/00	Tilton, Jr.			
	5	6,241,734 B1	06/05/01	Scribner, et al			
	6	6,383,188 B2	05/07/02	Kuslich, et al.			
	7	6,383,190 B1	05/07/02	Preissman			
	8	6,440,138 B1	08/27/02	Reiley, et al.			
KD	9	6,540,747 B1	04/01/03	Marino			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER  
INITIAL

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)


H:\DOCS\JLP\JLP-1945.DOC  
101003

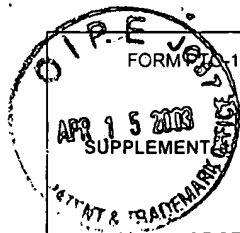
EXAMINER

Kathryn Odland

DATE CONSIDERED

1/16/04

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.



FORM 1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
AXIAMD.006AAPPLICATION NO.  
09/782,534SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Cragg et al.FILING DATE  
February 13, 2001GROUP  
3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)

RECEIVED  
APR 17 2003  
TECHNOLOGY CENTER R3700

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

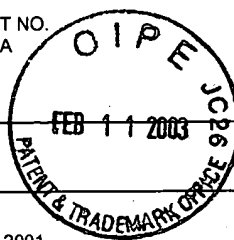
EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
	<del>Friedrich W. Rathke and Karl F. Schlegel -- Surgery of the Spine -- Atlas of Orthopaedic Operations, Volume 1 -- 1979 pp 222-224</del>

H:\DOCS\JLP\JLP-1631.DOC\lml: clk2  
022603

already  
listed in another IDS

EXAMINER	Kathryn Odland	DATE CONSIDERED	1/16/04
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AXIAMD.006A	APPLICATION NO. 09/782,534
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Cragg et al.	GROUP 3731
		FILING DATE February 13, 2001	



RECEIVED  
FEB 20 2003  
TECHNOLOGY CENTER R3700

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
KO	6,409,766 B1	06/25/02	Brett			
	6,416,515 B1	07/09/02	Wagner			
	6,419,678 B1	07/16/02	Asfora			
	6,423,095 B1	07/23/02	Van Hoeck et al.			
	6,436,098 B1	08/20/02	Michelson			
	6,436,140 B1	08/20/02	Liu et al.			
	6,436,143 B1	08/20/02	Ross et al.			
	6,447,546 B1	09/10/02	Bramlet et al.			
	6,447,547 B1	09/10/02	Michelson			
	2002/0072801 A1	06/13/02	Michelson			
	2002/0077632 A1	06/20/02	Tsou			
	2002/0077700 A1	06/20/02	Varga et al.			
	2002/0077702 A1	06/20/02	Castro			
KO	2002/0147485 A1	10/10/02	Mamo et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
KO	WO 01/28468 A1	04/26/01	PCT				
KO	WO 01/60268 A1	08/23/01	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

H:\DOCS\JLP\JLP-1586.DOC\lml // 012203

EXAMINER	Kathryn Odland	DATE CONSIDERED	1/16/04
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
AXIAMD.006AAPPLICATION NO.  
09/782,534SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

USE SEVERAL SHEETS IF NECESSARY

APPLICANT  
Cragg, et al.FILING DATE  
February 13, 2001GROUP  
3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
KO	1	6,447,514 B1	09/10/02	Stalcup, et al.			
KO	2	6,447,518 B1	09/10/02	Krause, et al.			
KO	3	2002/0022888 A1	02/21/02	Serhan, et al.			
KO	4	2002/0107573 A1	08/08/02	Steinberg			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
KO	5	WO 02/058599 A2	08/01/02	WIPO				

EXAMINER  
INITIAL

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

KO	6	JOHN L. EMMETT, M.D., M.S. (UROLOGY), DAVID M. WITTEN, M.D., M.S. (RADIOLOGY) -- VOLUME 1, THIRD EDITION -- CLINICAL UROGRAPHY - AN ATLAS AND TEXTBOOK OF ROENTGENOLOGIC DIAGNOSIS -- 1971 -- PHNEUMOGRAPHY (RETROPERITONEAL GAS [AIR] INSUFFLATION; PERIRENAL INSUFFLATION; PRESACRAL INSUFFLATION)						
	7							
	8							
	9							
	10							
	11							
	12							
	13							
	14							
	15							
	16							
	17							
	18							
	19							

H:\DOCS\JLP\JLP-1446.DOC\lb:lm  
100102

EXAMINER

Kathryn Odland

DATE CONSIDERED

1/16/04

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AXIAMD.006A	APPLICATION NO. 09/782,534
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT(S) Andrew H. Cragg, et al.	<b>RECEIVED</b> <b>JUL 29 2002</b> TECHNOLOGY CENTER R3700
		FILING DATE February 13, 2001	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
KD	1.	6,371,990 B1	04/16/02	Ferree			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
KD	2.	WO 00/67650	11/16/00	PCT				

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)		
KD	3.	U.S. Patent Application Publication No. 2002/0082598 A1, <i>Percutaneous Vertebral Fusion System</i> , published June 27, 2002	
KD	4.	U.S. Patent Application Publication No. 2002/0068939 A1, <i>Expandable Orthopedic Device</i> , published June 6, 2002	

H:\DOCS\JLP\JLP-1367.DOC:sg  
072202

EXAMINER <i>Kathryn Odland</i>	DATE CONSIDERED <i>1/16/04</i>
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AXIAMD.006A	APPLICATION NO. 09/782,534
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Andrew H. Cragg, MD, et al.	
		FILING DATE February 13, 2001	GROUP 3731

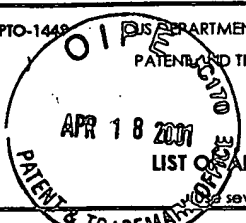
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
K0	1,630,239	05/09/1924	Binkley, et al.			
	3,367,326	06/05/1965	Frazier			
	3,554,192	07/23/1968	Isberner			
	4,135,506	01/23/1979	Ulrich			
	4,862,891	09/05/1989	Smith			
	4,966,604	10/30/1990	Reiss			
	5,195,968	03/23/1993	Lundquist, et al.			
	5,242,461	09/07/1993	Kortenbach, et al.			
	5,269,785	12/14/1993	Bonutti			
	5,285,795	02/15/1994	Ryan, et al.			
	5,403,276	04/04/1995	Schechter, et al.			
	5,433,739	07/18/1995	Sluijter, et al.			
	5,554,163	09/10/1996	Shturman			
	5,968,062	10/19/1999	Thomas, et al.			
	5,980,504	11/09/1999	Sharkey, et al.			
	6,022,362	02/08/2000	Lee, et al.			
K0	6,066,152	05/23/2000	Strauss, et al.			

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)
K0	B. Jeanneret, et al., "Posterior Stabilization in L5-S1 Isthmic Spondylolisthesis with Paralaminar Screw Fixation: Anatomical and Clinical Results," <u>Journal of Spinal Disorders</u> , Vol. 9, No. 3, pp. 223-233 (1996) Lippincott-Raven Publishers, Philadelphia.

H:\DOCS\JLP\JLP-1053.DOC:cxb  
010702

EXAMINER	Kathryn Odland	DATE CONSIDERED	1/16/04
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.			

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <div style="text-align: center;">           LIST OF ART CITED BY APPLICANT          (Use several sheets if necessary)       </div>				Atty. Docket No. <b>9500100.APP</b>		Serial No. <b>09/782,534</b>	
Applicant <b>Andrew H. Cragg, MD</b>							
Filing Date <b>February 13, 2001</b>						Group	

U.S. PATENT DOCUMENTS							
Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
Ko	AA	RE33,258	07/10/90	Onik et al.	604	22	
	AB	3,892,232	07/01/75	Neufeld	128	92EB	
	AC	4,170,990	10/16/79	Baumgart et al.	128	92B	
	AD	4,265,231	05/05/81	Scheller, Jr. et al.	128	92E	
	AE	4,401,112	04/30/98	Rezaian	128	92B	
	AF	4,453,539	06/12/84	Raftopoulos et al.	128	92BC	
	AG	4,541,423	09/17/85	Barber	128	92E	
	AH	4,553,273	11/19/85	Wu	623	18	
	AI	4,573,448	03/04/86	Kambin	606	170	
	AJ	4,636,217	01/13/87	Ogilvie et al.	623	17	
Ko	AK	4,756,649	07/12/88	Heule	408	178	

FOREIGN PATENT DOCUMENTS							
Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES   NO	
Ko	AL	WO 99/47055	09/23/99	PCT	A61B	17/32	
	AM						
	AN						
	AO						
	AP						

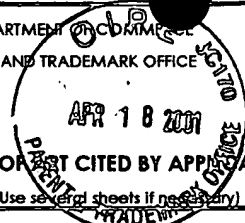
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Etc.)		
Ko	AR	J. J. Trambert, MD, "Percutaneous Interventions in the Presacral Space: CT-guided Precoccygeal Approach--Early Experience", Radiology 1999; 213:901-904
Ko	AS	R. Johnsson et al., "Posterolateral lumbar fusion using facet joint fixation with biodegradable rods: a pilot study", Eur Spine J., (1997) 6:144-148
Ko	AT	R. P. Louis, MD, "Anatomy, Physiology, and Biomechanics of the Lumbopelvic Junction", Lumbosacral and Spinopelvic Fusion, Chapter 1 (pp. 1-11) Lippincott-Raven Pub. (1996)

EXAMINER <b>Kathryn Odland</b>	DATE CONSIDERED <b>1/16/04</b>
-----------------------------------	-----------------------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <div style="text-align: center;">  </div>			Atty. Docket No. <b>9500100.APP</b>		Serial No. <b>09/782,534</b>	
LIST OF ART CITED BY APPLICANT <small>(Use several sheets if necessary)</small>							Applicant <b>Andrew H. Cragg, MD et al.</b>	
Filing Date <b>February 13, 2001</b>							Group	

U.S. PATENT DOCUMENTS							
Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
Ko	BA	4,969,888	11/13/90	Scholten et al.	606	94	
	BB	5,002,546	03/26/91	Romano	606	80	
	BC	5,015,255	05/14/91	Kuslich	623	017	
	BD	5,030,201	07/09/91	Palestrant	604	22	
	BE	5,059,193	10/22/91	Kuslich	606	61	
	BF	5,071,437	12/10/91	Steffee	623	017.16	
	BG	5,190,546	03/12/93	Jervis	606	078	
	BH	5,192,326	03/09/93	Bao et al.	623	017.12	
	BI	5,258,031	11/02/93	Salib et al.	623	17	
	BJ	5,290,289	03/01/94	Sanders et al.	606	61	
Ko	BK	5,313,962	05/24/94	Obenchain	128	898	

FOREIGN PATENT DOCUMENTS							
Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION... YES   NO	
	BL						
	BM						
	BN						
	BO						
	BP						

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Etc.)		
Ko	BR	M. R. Zindrick, MD et al., "Clinical Anatomy of the Lumbosacral Junction and Pelvis" Lumbosacral and Spinopelvic Fusion, Chapt. 2 (pp. 13-25) Lippincott-Raven Pub. (1996)
Ko	BS	J. W. Olgilvie, MD et al., "Overview of Fixation to the Sacrum & Pelvis in Spinal Surgery", Lumbosacral and Spinopelvic Fusion, Chapter 17 (pp. 191-8) Lippincott-Raven Pub. (1996)
Ko	BT	S. A. Caruso, ME et al., "Instrumented Fusions of the Lumbosacral Spine: A Technical Overview", Lumbosacral and Spinopelvic Fusion, Chapter 18 (pp. 199-210) Lippincott-Raven Pub. (1996)

EXAMINER <i>Hathorn Odland</i>	DATE CONSIDERED <i>1/16/04</i>
-----------------------------------	-----------------------------------

FORM PTO-1449		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			Atty. Docket No. <b>9500100.APP</b>		Serial No. <b>09/782,534</b>	
<b>LIST OF ART CITED BY APPLICANT</b> (Use several sheets if necessary)				Applicant <b>Andrew H. Cragg, MD et al.</b>				
				Filing Date <b>February 13, 2001</b>		Group		

U.S. PATENT DOCUMENTS							
Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
KO	CA	5,336,223	08/09/94	Rogers	606	61	
	CB	5,383,884	01/24/95	Summers	606	170	
	CC	5,395,188	03/07/95	Bailey et al.	408	127	
	CD	5,396,880	03/14/95	Kagan et al.	128	6	
	CE	5,415,661	05/16/95	Holmes	606	69	
	CF	5,505,732	04/09/96	Michelson	606	61	
	CG	5,514,137	05/07/96	Coutts	606	62	
	CH	5,514,180	05/07/96	Heggeness et al.	623	17	
	CI	5,549,679	03/01/95	Kuslich	623	17	
	CJ	5,562,736	10/08/96	Ray et al.	623	17	
	CK	5,571,189	11/05/96	Kuslich	623	17	
	CL	5,700,291	12/23/97	Kuslich et al.	623	17	
CM	5,702,454	12/30/97	Baumgartner	623	17		

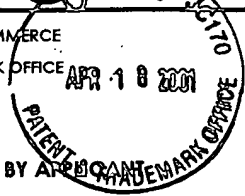
FOREIGN PATENT DOCUMENTS							
Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	CN					YES	NO
	CO						
	CP						

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Etc.)	
KO	CR R. P. Louis, MD, "Lumbopelvic Fusion", <u>Lumbosacral and Spinopelvic Fusion</u> , Chapter 38 (pp. 479-492) Lippincott-Raven Pub. (1996)
KO	CS J. Dove, FRCS, "The Hartshill System for the Front of the Lumbosacral Spine", <u>Lumbosacral and Spinopelvic Fusion</u> , Chapter 42 (pp. 539-543) Lippincott-Raven Pub. (1996)
KO	CT P. Kambin, MD et al., "Arthroscopic Fusion of the Lumbosacral Spine", <u>Lumbosacral and Spinopelvic Fusion</u> , Chapter 44 (pp. 565-577) Lippincott-Raven Pub. (1996)

EXAMINER <b>Kathryn Odland</b>	DATE CONSIDERED <b>1/16/04</b>
--------------------------------	--------------------------------

FORM PTO-1449		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Atty. Docket No. <b>9500100.APP</b>		Serial No. <b>09/782,534</b>	
				Applicant <b>Andrew H. Cragg, MD et al.</b>			
				Filing Date <b>February 13, 2001</b>		Group	
<b>LIST OF ART CITED BY APPLICANT</b> (Use several sheets if necessary)							
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
KO	DA	5,702,455	12/30/97	Saggar	623	17	
	DB	5,713,904	02/03/98	Errico et al.	606	73	
	DC	5,735,899	04/07/98	Schwartz et al.	623	17	
	DD	5,762,629	06/09/98	Kambin	604	164	
	DE	5,827,328	10/27/98	Butterman	623	17	
	DF	5,888,220	03/30/99	Felt et al.	623	17	
	DG	5,888,223	03/30/99	Bray, Jr.	623	17	
	DH	5,972,015	10/26/99	Scribner et al.	606	192	
	DI	5,976,146	11/02/99	Ogawa et al.	606	86	
	DJ	5,976,187	11/02/99	Richelsoph	623	17	
KO	DK	5,989,256	11/23/99	Kuslich et al.	606	74	
	DL	6,019,792	02/01/00	Cauthen	623	17	
	DM	6,056,749	05/02/00	Kuslich	606	61	03/15/99
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	...TRANSLATION... YES   NO	
	DN						
	DO						
	DP						
<b>OTHER DISCLOSURES</b> (Including Author, Title, Date, Pertinent Pages, Etc.)							
	DR						
	DS						
	DT						
EXAMINER <i>Kathryn Dalend</i>				DATE CONSIDERED <i>1/14/04</i>			

FORM PTO-1449

US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEAtty. Docket No.  
9500100.APPSerial No.  
09/782,534

APR 18 2001

Applicant .

Andrew H. Cragg, MD et al.

LIST OF ART CITED BY APPLICANT  
(Use several sheets if necessary)Filing Date  
February 13, 2001

Group

## U.S. PATENT DOCUMENTS

Examiner Initial*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
K6	EA	6,066,154	05/23/00	Reilley et al.	606	192	01/26/94
	EB	6,080,099	06/27/00	Slater et al.	600	8	08/12/98
	EC	6,086,589	07/11/00	Kuslich et al.	606	61	02/02/99
	ED	6,093,207	07/25/00	Pisharodi	623	017	03/18/94
	EE	6,095,149	08/01/00	Sharkey et al.	128	898	06/24/97
	EF	6,120,502	09/19/00	Michelson	606	61	05/27/94
✓	EG	6,123,705	09/26/00	Michelson	606	61	10/01/96
KD	EH	6,127,597	10/03/00	Beyar et al.	623	16	03/07/97
	EI						
	EJ						
	EK						
	EL						
	EM						

## FOREIGN PATENT DOCUMENTS

Examiner Initial*		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION....	
	EN						YES	NO
	EO							
	EP							

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages, Etc.)

	ER	
	ES	
	ET	

EXAMINER

Kathryn Odland

DATE CONSIDERED

1/16/04